



DISCLOSURE STATEMENT – Registered Financial Adviser

Financial Adviser: Christopher Bruce Mitchell – FSP537646
Trading Name: Mortgage Room Limited
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This Disclosure Statement was prepared on: 30 January 2017

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of Adviser am I?

I am a registered, but not authorised, financial adviser. I am permitted to provide financial advice in relation to home loan products, insurance, support in budgeting and banking facilities. I currently work full-time, as a wage earner for Mortgage Room Limited.

I have access to the following Lending & Insurance Companies, but not limited to:

ANZ, ASB, AMP, Asteron, Avanti Finance, Co-Operative Bank, Goldband Finance, Heartland Bank, Liberty Finance, Nelson Building Society, Prosper Group Ltd – Fire & General, Resimac, RMA Insurance, SBS, Sovereign, TSB, Westpac.

Mortgage Room Limited is a member of the following aggregator firm:

Prosper Group Ltd, 1/4 Antares Place, Mairangi Bay, North Shore City, Auckland. Ph (09) 478 0170

What should you do if something goes wrong?

If you are not satisfied with the service you have received from me, you should contact either myself or the office. We have an internal complaints process and undertake to investigate your concerns promptly and fairly. You may contact us to make a complaint by phone, email or in writing. Our contact details are above.

We have 3 months to respond to your complaint but will undertake to respond within 30 working days. If you are not satisfied by our response, you may refer the matter to the Financial Dispute Resolution (FDR) by emailing: enquiries@fdr.org.nz or calling “FDR” on 0508 337 337. Full details of how to access the FDR scheme can be obtained on their website www.fdr.org.nz. There are no costs to use the services of “FDR” and it will help us resolve any disagreements.

How am I regulated by the Government?

You can check that I am a Registered Financial Adviser at: www.fspr.govt.nz. The Financial Markets Authority regulates financial advisers. Contact the “FMA” for more information, including financial tips and warnings.

You can report information or complain about my conduct to the “FMA”, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under What should you do if something goes wrong?)

Declaration

I, Chris Mitchell, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Mortgage Adviser: Date:
Chris Mitchell

Client Acknowledgement:

I/We acknowledge that our Mortgage Adviser has explained the contents of this Personal Disclosure Statement and has given me/us a copy.

Client Name: Client Signature:

Client Name: Client Signature:

Date: